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EXPRESS MAIL LABEL NO.: EV 016 318 879 US

PATENT APPLICATION  
Docket No.: 14689.12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

X Form PTO-1449 list of 24 references submitted for consideration.

X Legible copies of the listed references or their relevant portions.

— All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

— Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

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\_\_\_ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

\_\_\_ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

\_\_\_ Legible Copies of previously filed Information Disclosure Statement for application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

\_\_\_ Promptness Certification.

\_\_\_ Check No. \_\_\_\_\_ in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);

\_\_\_ Petition for Consideration and Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_.

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 18<sup>th</sup> day of March, 2002.

Respectfully submitted,

*R. Burns Israel*

R. BURNS ISRAELSEN  
Attorney for Applicant  
Registration No. 42,685



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Docket No.: 14689.12

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Wayne A. Provost, et al

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 18<sup>th</sup> day of March, 2002.

Respectfully submitted,



R. BURNS ISRAELSEN  
Attorney for Applicant  
Registration No. 42,685



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EXPRESS MAIL LABEL NO.: EV 016 318 879 US

Form PTO-1449

Sheet 1 of 4

Applicant: Wayne A. Provost, et al.

Serial No.: Unknown

Filing Date: January 11, 2002

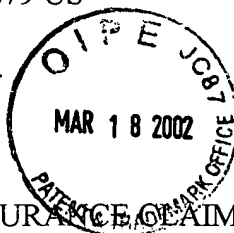
Confirmation No.: Unassigned

For:

PROCESSING AN INSURANCE CLAIM USING ELECTRONIC  
VERSIONS OF SUPPORTING DOCUMENTS

Att'y Docket No.: 14689.12

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

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U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A1.	4,858,121	08/15/89	Barber, et al.	364	406	12/12/86
_____ A2.	5,225,976	07/06/93	Tawil	364	401	03/12/91
_____ A3.	5,359,509	10/25/94	Little, et al.	364	401	10/31/91
_____ A4.	5,519,607	05/21/96	Tawil	364	401	07/06/93
_____ A5.	5,704,044	12/30/97	Tarter, et al.	395	204	07/31/96
_____ A6.	4,491,725	01/01/85	Pritchard	235	375	09/29/82
_____ A7.	4,831,526	05/16/89	Luchs, et al.	364	401	04/22/86
_____ A8.	5,235,507	08/10/93	Sackler, et al.	364	401	01/16/90
_____ A9.	5,253,164	10/12/93	Holloway, et al.	364	406	01/29/91
_____ A10.	5,301,105	04/05/94	Cummings, Jr.	364	401	04/08/91
_____ A11.	5,523,942	06/04/96	Tyler, et al.	364	401	03/31/94
_____ A12.	5,644,778	07/01/97	Burks, et al.	395	800	11/02/93

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 2 of 4

Applicant: Wayne A. Provost, et al.

Serial No.: Unknown

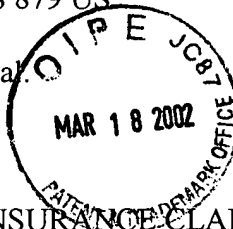
Filing Date: January 11, 2002

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_____ A13.	5,903,873	05/11/99	Peterson, et al.	705	4	Technology Center 2100 05/31/96
_____ A14.	5,915,241	06/22/99	Giannini	705	2	09/12/97
_____ A15.	5,930,759	07/27/99	Moore, et al.	705	2	04/30/96
_____ A16.	6,012,035	1/04/00	Freeman, Jr., et al.	705	2	10/13/97
_____ A17.	6,112,183	08/29/00	Swanson, et al.	705	2	02/11/97
_____ A18.	5,911,132	06/08/99	Sloane	705	3	10/14/97
_____ A19.	6,003,007	12/14/99	DiRienzo	705	4	03/25/97

Foreign Patent Documents

<u>Examiner</u> <u>Initial*</u>	<u>Document</u> <u>Number</u>	<u>Publ.</u> <u>Date</u>	<u>Country or</u> <u>Patent Office</u>	<u>Sub</u> <u>Class</u>	<u>Class</u>	<u>Trans-</u> <u>lation</u>
_____ A20.	WO 2001/09701 A	02/01	WIPO	G06F	01/00	

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

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Form PTO-1449

Sheet 3 of 4

Applicant: Wayne A. Provost, et al.

Serial No.: Unknown

Filing Date: January 11, 2002

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- \_\_\_\_\_A21. Llana, Jr. A. "Conveying the Image", Feb. 1992, v12, n8, p22 (4). Gale Group Computer DB, [online] DG Review. Retrieved from: Dialog Accession No. 01513428.
- \_\_\_\_\_A22. "Octel unveils strategic roadmap for health care marketplace focus is on efficiency-enhancing applications global messaging" Mar 1996, Gale Group, Retrieved from: Dialog Access. No. 01354156.
- \_\_\_\_\_A23. Tanner, Lisa, Claimsnet Files IPO to Fund Internet Service (The Company plans to cash in on growing market for physician's claims processing), Dallas Business Journal, May 8, 1998, Vol. 21, Issue 37, Pg. 10 1/2p.
- \_\_\_\_\_A24. Article entitled "Healtheon: Zigging and zagging to adjust to health care realities", published in Health Management Technology, Vol. 18, Issue 18, p30, July 1997.

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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Form PTO-1449

Applicant: Wayne A. Provost, et al.

Serial No.: Unknown

Filing Date: January 11, 2002

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Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Wayne A. Provost, et al.

Docket No.

14689.12

Serial No.  
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January 11, 2002Confirmation No.  
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Invention: PROCESSING AN INSURANCE CLAIM USING ELECTRONIC VERSIONS OF  
SUPPORTING DOCUMENTS

I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (4 pgs); Legible Copies of 24 Cited References; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, DC 20231 on March 18<sup>th</sup>, 2002.

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